

Continuity of Business

DORIC'S BUSINESS CONTINUITY PLANNING

Doric GmbH and its affiliates (Doric) developed a Business Continuity Plan on how to respond to events that significantly disrupt our business. Significant business disruptions can vary in their scope, affecting, for example, only Doric, the building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe.

To respond to such business disruptions in a manner appropriate to our business we have established a Business Continuity Plan.

OUR BUSINESS CONTINUITY PLAN

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment and protecting the firm's books and records. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed, and expect to recover and resume business as soon as practical. In a disruption affecting our business district, city, or region, we plan to establish alternate work locations outside of the affected area, and recover and resume business as soon as practical. In either situation, we plan to continue in business and notify customers with a new phone number, if necessary.

Our Business Continuity Plan addresses

- Data backup and recovery
- All mission critical systems
- Financial and operational assessments
- Alternative communications with customers and employees
- Alternate physical location of employees
- Contractor, critical business constituent, bank and counter-party impact
- Regulatory reporting
- Communication with regulators

CONTACTING US

If after a significant business disruption you cannot contact us as you usually do via our landline or mobile number, you should try to reach us by e-mail at info@doric.com.

MORE INFORMATION

If you have questions about our Business Continuity Plan, please contact us on +49 69 247559 100.